



## PRIVACY IMPACT ASSESSMENT (PIA)

For the

ACTS - ARBA Case Tracking System

ASA(M&RA)

### **SECTION 1: IS A PIA REQUIRED?**

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel\* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

\* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

**SECTION 2: PIA SUMMARY INFORMATION**

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- Existing DoD Information System
- Significantly Modified DoD Information System
- New Electronic Collection
- Existing Electronic Collection

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR      Enter DITPR System Identification Number      2569 DA06084
- Yes, SIPRNET      Enter SIPRNET Identification Number
- No

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes
- No

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes
- No

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.  
Consult the Component Privacy Office for additional information or  
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office

Consult the Component Privacy Office for this date.

**e. Does this DoD information system or electronic collection have an OMB Control Number?**

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

**Yes**

**Enter OMB Control Number**

0704-0004; 0704-0003

**Enter Expiration Date**

12/31/2017; 12/31/2017

**No**

**f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.**

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

5 U.S.C. 301, Departmental Regulations  
10 U.S.C. 3013, Secretary of the Army  
10 U.S.C. 1552, Correction of Military Records  
10 U.S.C. 1214, Armed Forces; Right to Full and Fair Hearing  
10 U.S.C. 1216, Secretaries, powers, functions and duties  
10 U.S.C. 1553, Review of Discharge or Dismissal  
10 U.S.C 1554, Military Personnel Benefits  
Executive Order 9397 SSN, as amended

**g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.**

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

The Deputy Assistant Secretary of the Army (Review Boards) (DASA(RB)) is responsible to the Assistant Secretary of the Army for Manpower and Reserve Affairs (ASA(M&RA)) for the review and adjudication of applications and cases submitted by various parties for the correction of military records, for past and present members of the United States Army (USA) and Army National Guard (NG), or their authorized representative. ACTS Online was designed to allow members of the public to submit applications online for changes to military records, discharges, medical records, legal issues and tracking clemency and parole issues. The DASA(RB) is also the Director of the Army Review Boards Agency (ARBA).

ACTS Online operates on a Microsoft Windows operating system platform. Web application and database servers are located in the Pentagon. Users can access the online applications at <http://arba.army.pentagon.mil/online-application.cfm>. ARBA's TRIM and ACTS database servers interconnect to the ACTS Online database server to retrieve applications.

Information in identifiable form that will be collected includes: Application for Correction of Military Record (DD Form 149), Application for the Review of Discharge from the Armed Forces of the United States (DD 293), PII collected includes personal, law enforcement, legal, medical, employment, education, and military.

Information is collected directly from the applicant, or surviving spouse, or next of kin, or authorized representative. Other supporting documentation containing information in identifiable information is collected from the Army's interactive Personnel Electronic Record Management System (iPERMS) and/or from the National Archive and Records Administration (NARA). Information will be collected from any activity having a stake in, or responsive information pertaining to, an applicant's request for correction.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

Due to the level of safeguarding, we believe the risk to individuals' privacy to be minimal. Information that is submitted by the requestor is stored on the ACTS Online database server located behind the Pentagon firewall. There are no risks in providing an individual the opportunity to object or consent, or in notifying individuals. Risk is mitigated by consolidation and linkage of files and systems, derivation of data, accelerated information processing and decision making, and use of new technologies.

**h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.**

**Within the DoD Component.**

Specify.

Not all information in identifiable form is shared with all the Army agencies listed below. Only final determinations are sent to relevant agencies to initiate appropriate changes related to that decision (e.g. a decision regarding a pay grade may be sent to DFAS in order to initiate a change in pay for the individual). Information in identifiable form may be shared with the following Army agencies: Army Inspector General (IG); Assistant Secretary of the Army for Manpower and Reserve Affairs (ASA(M&RA)); Deputy Chief of Staff, G-1; Human Resources Command (HRC); Office of the Chief, Army Reserve

(OCAR); Army General Counsel (GC); Secretary of the Army; US Army Reserve Command (USARC); Army Recruiting Command (USAREC); US Army Cadet Command; US Army Military Academy (USMA); and Army Physical Disability Agency (PDA);

Other Army agencies that would obtain access to PII in this system, on request in support of an authorized investigation or audit, may include Army Staff Principals in the chain of command, Department of Army Inspector General, Army Audit Agency, US Army Criminal Investigative Command, and US Army Intelligence and Security Command. In addition, the Army blanket routine uses apply to this system.

ACTS is an internal Army Review Boards Agency system that is only accessed by authorized ARBA personnel employees. All ARBA employees are required to take PII training.

**Other DoD Components.**

Specify.

Not all information in identifiable form is shared with all the DoD agencies listed below. Information in identifiable form may be shared with the following DoD agencies: Office of the Judge Advocate General (OTJAG); National Personnel Records Center (NPRC)(a division of the National Archives and Records Administration (NARA)); State Adjutants General for all states and territories; and National Guard Bureau.

Internal DoD agencies that would obtain access to PII in this system, on request in support of an authorized investigation or audit, may include Department of Defense Inspector General, and Defense Criminal Investigative Service. In addition, the DoD blanket routine uses apply to this system.

**Other Federal Agencies.**

Specify.

**State and Local Agencies.**

Specify.

**Contractor** (Enter name and describe the language in the contract that safeguards PII.)

Specify.

Company Name: Leidos  
4.2.41 Protection of PII  
4.2.4.1.1 Privacy Policies and Updates  
4.2.4.1.2 Develop and maintain local policies required for maintaining a sound privacy program per DoD 5400.11-R and Army Regulation 340-21. Create and/or update these policies regarding the protection of PII in accordance with federal, DoD and Army regulations.

**Other** (e.g., commercial providers, colleges).

Specify.

**i. Do individuals have the opportunity to object to the collection of their PII?**

**Yes**

**No**

(1) If "Yes," describe method by which individuals can object to the collection of PII.

Applicants are given the opportunity to consent to the use, collection and storage of their information in identifiable form by signing DD Form 149 or DD Form 293, each of which contains a Privacy Act Statement. A Privacy Notice is located on the ACTS Online website that informs the individual of their option to consent by signing, or object to the collection and/or use of their information in identifiable form. It also informs the applicant of ARBA's use, sharing and collection practices.

(2) If "No," state the reason why individuals cannot object.

**j. Do individuals have the opportunity to consent to the specific uses of their PII?**

**Yes**                       **No**

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

Applicants are given the opportunity to consent to the use, collection and storage of their information in identifiable form by signing DD Form 149 or DD Form 293, each of which contains a Privacy Act Statement. A Privacy Notice is located on the ACTS Online website that informs the individual of their option to consent by signing, or object to the collection and/or use of their information in identifiable form. It also informs the applicant of ARBA's use, sharing and collection practices.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

**k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.**

**Privacy Act Statement**                       **Privacy Advisory**  
 **Other**     **None**