



PRIVACY IMPACT ASSESSMENT (PIA)

For the

CAAANET NIPR - CRANE ARMY AMMUNITION ACTIVITY

U.S. Army Materiel Command (AMC) - U.S. Army Joint Munitions Command (JMC)

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System New Electronic Collection
- Existing DoD Information System Existing Electronic Collection
- Significantly Modified DoD Information System

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR Enter DITPR System Identification Number
- Yes, SIPRNET Enter SIPRNET Identification Number
- No

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes No

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes No

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office

Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes

Enter OMB Control Number

Enter Expiration Date

No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

10 U.S.C. 3013, Secretary of the Army; 5 U.S.C. 7902, Safety Programs; Pub.L. 91-596, Occupational Safety and Health Act of 1970; DoD Instruction 6055.1, DoD Safety and Occupational Health Program; Army Regulations 385-10, Army Safety Program; and E.O. 9397 (SSN) as amended.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

CAAANET NIPR will record, monitor and evaluate accidents, incidents and radiation exposures. Conduct investigations; analyze accident experience and exposure information; correcting deficiencies and for managerial and statistical reports.

The system collects personal data to identify individuals involved in an accident in order for an investigation to take occur and statical reports filed with Occupational Safety and Health Administration, National Council on Radiation Protection and Measurement and the National Research Council.

The type of PII collected is personal, medical, and employment information.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

Personal information could be utilized for illegal purposes should the information be obtain by unauthorized personnel. In order to mitigate lost of paper records all documents will be transported and stored appropriately. The system will be CAC enabled and the hard drive that will contain the information will be encrypted. The facility that will house this application has security guards and badges are required. In addition, personnel will take required security and privacy training.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

Within the DoD Component.

Specify.

Other DoD Components.

Specify.

Other Federal Agencies.

Specify.

State and Local Agencies.

Specify.

Contractor (Enter name and describe the language in the contract that safeguards PII.)

Specify.

Other (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

Yes **No**

(1) If "Yes," describe method by which individuals can object to the collection of PII.

Employees name and full SSN are required by 10 CFR 19.13 and 29 CFR 1910.96. Employees are advised that if they refuse they are not allowed to become a radiation worker. AR 385-10 and DA Pam 385-40 requires PA/PII information to be collected and reported about employees involved with accidents, incidents and mishaps. Employees are advised that if they refuse to provide the information it can be obtained from other personal records and/or reports pertaining to the accident, incident or mishap.

(2) If "No," state the reason why individuals cannot object.

j. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes **No**

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Full name and SSN are required for participation in the radiation program which is part of an employees job duties, if they refuse to consent they can not be a radiation worker. We are required to report accident/ incident reports which contain PA/PII about those individuals involved, so if an employee refuses to provide such information needed we can obtain it from other reports/records.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

Privacy Act Statement

Privacy Advisory

Other

None

Describe each applicable format.

The Privacy Act statement pertaining to the information in this system is posted on bulletin boards and handed out in new employee packets.

AUTHORITY: 10 U.S.C. 3013, Secretary of the Army; 5 U.S.C. 7902, Safety Programs; Department of the Army Pamphlet 385-40, The Army Accident Reporting and Records; E.O. 12196, Occupational Safety and Health Programs for Federal Employees; 29 U.S.C. Chapter 15, Occupational Safety and Health; Department of the Army Pamphlet 385-24, The Army Radiation Safety Program; Department of the Army Pamphlet 40-18, Personnel Dosimetry Guidance and Dose Recording Procedures for Personnel Occupationally Exposed to ionizing Radiation; and E.O. 9397 (SSN), as amended.

PURPOSE: To record, monitor and evaluate accidents, incidents and radiation exposure. Conduct investigations; analyze accident experience and exposure information; correcting deficiencies and for managerial and statistical reports.

ROUTINE USE: The information may be used to provide data to other Federal and non-federal agencies, such as the National Council on Radiation Protection and Measurement and the National Research Council, involved in the monitoring/evaluating exposures of individuals to ionizing radiation or radioactive materials who are employed as radiation workers on a permanent or temporary basis. Information may also be used to provide anonymous statistical data to the Occupational Safety and Health Administration, Voluntary Protection Program.

MANDATORY OR VOLUNTARY DISCLOSURE AND EFFECT ON INDIVIDUAL NOT PROVIDING INFORMATION: Disclosure of the requested information is voluntary; however, if an individual refuses to provide information for use with the radiation program, the individual may not become a radiation worker. The social security number is used to assure the Army/Agency has accurate identifier not subject to the coincidence of similar names or birthdates among the large number of persons on whom exposure data is maintained. If an individual refuses to provide information for use during an accident/incident investigation/ assessment, it may be obtained from personnel or other records.

NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.